

Informal Joint Performance and Audit Scrutiny Committee



Title of Report:	West Suffolk Strategic Risk Register Quarterly Monitoring Report – September 2014	
Report No:	PAS/FH/14/003	
Decisions plan reference:	Not applicable	
Report to and dates:	Performance and Audit Scrutiny Committee	26 November 2014
	Cabinet (if required)	2 December 2014
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Purpose of report:	To review the West Suffolk Strategic Risk Register Quarterly Monitoring Report.	

Recommendation:		Performance and Audit Scrutiny Committee:	
		It is <u>RECOMMENDED</u> that: (1) Members scrutinise the updated West Suffolk Strategic Risk Register at Appendix 1 and refer any major issues requiring attention to Cabinet.	
Key Decision: <i>(Check the appropriate box and delete all those that do not apply.)</i>		<i>Is this a Key Decision and, if so, under which definition?</i> Yes, it is a Key Decision - <input type="checkbox"/> No, it is not a Key Decision - <input checked="" type="checkbox"/>	
Consultation:		<ul style="list-style-type: none"> • Not applicable 	
Alternative option(s):		<ul style="list-style-type: none"> • Not applicable 	
Implications:			
Are there any financial implications? If yes, please give details		Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> <ul style="list-style-type: none"> • There are no direct financial or budget implications arising from this report. Specific risks associated with finance and resources are included in the West Suffolk Strategic Risk Register at Appendix 1. 	
Are there any staffing implications? If yes, please give details		Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> <ul style="list-style-type: none"> • 	
Are there any ICT implications? If yes, please give details		Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> <ul style="list-style-type: none"> • 	
Are there any legal and/or policy implications? If yes, please give details		Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> <ul style="list-style-type: none"> • 	
Are there any equality implications? If yes, please give details		Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> <ul style="list-style-type: none"> • 	
Risk/opportunity assessment:		<i>(potential hazards or opportunities affecting corporate, service or project objectives)</i>	
Risk area	Inherent level of risk (before controls)	Controls	Residual risk (after controls)
See individual assessments against each risk as detailed in Appendix 1			
Ward(s) affected:		All Wards	
Background papers: <i>(all background papers are to be published on the website and a link included)</i>		None	
Documents attached:		Appendix 1 – West Suffolk Strategic Risk Register 2014/2015	

1. Key Issues and Reasons for Recommendation(s)

1.1 Key Issues and Summary

- 1.1.1 The West Suffolk Strategic Risk Register is updated regularly by the Risk Management Group. The Group is comprised of service representatives, including Health and Safety, supported by a Director and the Portfolio Holder for Resources, Governance and Performance. Heads of Service may be required to provide further information as requested by the Group.
- 1.1.2 At its most recent assessment in October 2014 the Group reviewed the Target Risk, the risk level where the Council aims to be, and agreed a Current Risk assessment. These assessments form the revised West Suffolk Strategic Risk Register at **Appendix 1**.
- 1.1.3 Part of this assessment included the consideration of the controls and actions in place to address the individual risks, Where Target Risk levels are lower than the Current Risk assessment, further action is either being taken or planned in order to treat the risk and meet the target.
- 1.1.4 Since the last assessment reported to the Committee on 31 July 2014, there have been no new risks identified and no risks have been amended or closed. Some individual controls or actions have been updated and those that were not ongoing and had been completed by September 2014 have been removed from the register.

1.2 New or Amended Risks

- 1.2.1 There have been not new risks identified in the period. No risks have been amended but where current controls and actions have been updated, these are reflected in the individual risk record.

1.3 Closed Risks

- 1.3.1 No risks have been closed since the last assessment which was reported to the Committee on 31 July 2014.

1.4 Reasons for Recommendations

- 1.4.1 The Council's Strategic Plan for 2014/2015, adopted by Council in February 2014, includes three key priority areas supported by a range of actions to deliver specific outcomes.

The West Suffolk Strategic Risk Register identifies and records the level of risk associated with delivering the Council's plans alongside meeting its statutory responsibilities and the organisation's overall ability to respond to change. Through assessment of risk and the likelihood and impact of potential failure to meet these challenges, the level of controls and, where possible, action required is identified and implemented.